

DEP & REF
Room 307

PATENT

40168

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

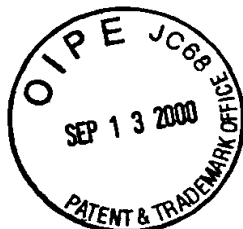
In re Application of:

Christof Niehrs et al.

Serial No.: 09/530,219

Filed: 27 April 2000

For: INHIBITOR PROTEIN OF THE
WNT SIGNAL PATHWAY



Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

**SUBMISSION OF VERIFIED SMALL
ENTITY STATEMENT AND REQUEST FOR REFUND**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith is a verified statement establishing small entity status for the
above-identified application. The Small Entity Declaration is being filed within two months of
payment of a small entity fee.

Respectfully submitted,

Dean H. Nakamura
Attorney of Record
Reg. No. 33,981

Roylance, Abrams, Berdo & Goodman, L.L.P.
1300 19th Street, N.W., Suite 600
Washington, D.C. 20036
(202) 659-9076

Dated: 13 September 2000

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Applicant or Patentee: Christof Niehrs and Andrei Glinka

Attorney's

Serial or Patent No.: 09/530,219Docket No. 40168Filed: 27 April 2000For: INHIBITOR PROTEIN OF THE WNT SIGNAL PATHWAY

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) AND 1.27(b)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Organization: Deutsches Krebsforschungszentrum Stiftung des Öffentlichen Rechts

Address of Organization: Im Neuenheimer Feld 280, 69120 Heidelberg, Germany

Type of Organization:

- ☐ University or other institution of higher education
☐ Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3))
☐ Nonprofit scientific or educational under Statute of State of the United States of America:

Name of State: _____

Citation of Statute: _____

- ☒ Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3)(3)) if located in the United States of America
☐ Would qualify as nonprofit scientific or educational under Statute of State of the United States of America if located in the United States of America:

Name of State: _____

Citation of Statute: _____

I hereby declare that the nonprofit organization identified above qualifies as a non-profit organization as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, with regard to the invention entitled INHIBITOR PROTEIN OF THE WNT SIGNAL PATHWAY by inventors Christof Niehrs and Andrei Glinka described in

- ☐ the specification filed herewith
☒ application Serial No. 09/530,219, filed 27 April 2000
☐ Patent No. _____, issued _____

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention, averring status as a small entity (37 CFR 1.27)

Full Name: _____

Address: _____

- ☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: Prof. Dr. med. Harald zur Hausen

Title of Person other than Owner: Chairman a. Scient. Member

Address of Person Signing: Eichenstraße 1
69483 Waldmichelbach

Dr. rer. pol. Josef Puchta

Adm. Member of the Board

Eichenweg 12a
69198 Schriesheim

Signature: _____ Date: 04.08.2000 Signature: _____ Date: 04.08.2000